## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Amar Lulla, et al.

Serial No.: 10/577,760

Filed: April 28, 2006

For: **ORAL FORMULATIONS FOR 5-HT-**RECEPTOR AGONISTS, USES AND METHODS OF TREATMENT EMPLOYING THE

SAME

CERTIFICATE OF FILING

Group Art Unit: 1614

Examiner: Not assigned

Confirmation No.: 6964

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450 Pursuant to 37 C.F.R. §1.8, I hereby certify that this correspondence is being electronically submitted to the Office Patent and

Edith Shek

## INFORMATION DISCLOSURE STATEMENT

## Commissioner:

This Information Disclosure Statement, including completed Forms PTO/SB/08A&B, comprises a list of pertinent art of which Applicants are aware. In accordance with 37 C.F.R. 1.98, no paper copies of the U.S. Patents and patent applications are enclosed. Copies of the foreign patents and non-patent literature listed on Forms PTO/SB/08A&B are enclosed herewith.

Atty Docket: PAC/eehc/22013US (4137-00800)

Patent

The submission of this Information Disclosure Statement and Forms PTO/SB/08A&B is not an admission that the art cited is "prior" with respect to the present invention, nor is it a representation that a search has been conducted or that no better art exists. Applicants reserve the right to swear behind or otherwise disprove any alleged "prior" nature of any art cited should the facts support and that situation warrant such an action. It is submitted that the art cited does not constitute a bar to the patentability of Applicants' invention under 35 U.S.C. §§ 102 or 103.

Applicants believe that no fee is due for the filing of this Information Disclosure Statement.

Nonetheless, in the event that a fee is due, please charge it to Deposit Account No. 50-1515 of Conley Rose, P.C.

Respectfully submitted,

CONLEY ROSE, P.C.

Date: 12-18-06

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PAC/eehc/22013US (4137-00800)

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Substitute for form 1449/PTO

Application Number 10/577,760

Filing Date April 28, 2006

First Named Inventor Amar Lulla

Art Unit 1614

Examiner Name N/A

Sheet

Attorney Docket Number

Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# krown)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Wher Relevant Passages or Releva Figures Appear	
/D.J./	AA	US- 5,807,571	09/15/1998	List	<u> </u>	
/D.J./	AB	<sup>US-</sup> 6,805,854 B2	10/19/2004	Hale, et al.		
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		FORE	IGN PATENT DOCU	JMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (# known)	MM-DD-YYYY		Or Relevant Figures Appear	⊤°
/D.J./ .	ВА	EP 0 503 440 A1	09/16/1992	Glaxo Group Limited		
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/D.J./	BD	WO9426270	11/24/1994	Lohmann Therapie Syst. Lt		
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Examiner	(Danna Jagon/ (05/22/2000)	Date	,
Signature	/Donna Jagoe/ (05/22/2009)	Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known						
Application Number	10/577,760					
Filing Date	April 28, 2006					
First Named Inventor	Amar Lulla					
Art Unit	1614					
Examiner Name	N/A					
Attorney Docket Number	DAC/665/22013US (4137 00800)					

U. S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
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	FOREIGN PATENT DOCUMENTS								
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/D.J./	BG	WO 02/083219 A1	10/24/2002	Glaxo Group Limited					
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STATEMENT BY APPLICANT				First Named Inventor	Amar Lulla		
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	(USE as many sne	ets as n	ecessary)	Examiner Name	N/A		
Sheet	3	of	3	Attorney Docket Number	PAC/eehc/22013US (4137-00800)		

Examiner	Cite	NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	
Initials*	No. <sup>1</sup>	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
/D.J./	CA	International Search Report and Written Opinion, PCT/GB2004/004605, November 3, 2005, 16 pgs.	
/D.J./	СВ	Transmittal and International Preliminary Report on Patentability, PCT/GB2004/004605, June 1, 2006, 22 pgs.	
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Examiner	/Donna Jagoe/ (05/22/2009)	Date	
Signature		Considered	

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